

PTO/SB/21 (05-03)

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2152
30**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/696,893

Filing Date

October 26, 2000

First Named Inventor

Fred Cohen #5

Group Art Unit

2152

Examiner Name

Khanh Q. Dinh

Total Number of Pages in This Submission

Attorney Docket Number

511-000110US

ENCLOSURES (check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Response

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/
Incomplete Application☐Response to Missing
Parts under 37 CFR
1.52 or 1.53☐Assignment Papers
(for an Application)☐

Drawing(s)

☐

Licensing-related Papers

☐Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐Petition to Convert to a
Provisional Application☐Power of Attorney, Revocation
Change of Correspondence
Address☐

Terminal Disclaimer

☐

Small Entity Statement

☐

Request for Refund

☐After Allowance Communication
to Group☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Additional Enclosure(s)
(please identify below):PTO Form 1449; Cited
References (3); receipt
acknowledgment postcard**Authorization to Charge Deposit Account**

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

RECEIVED

AUG 17 2004

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Technology Center 2100Firm
or
Individual name

Stephen J. LeBlanc, Reg. No. 36,579, Quine Intellectual Property Law Group, P.C.

Signature

Date

August 12, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

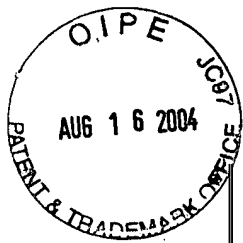
Typed or printed name

Amelia Weintraub

Signature

Date

August 12, 2004



I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on August 12, 2004

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Amelia Weintraub
Amelia Weintraub

Attorney Docket No. 511-000110US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Fred Cohen, et al.

Application No.: 09/696,893

Filed: October 26, 2000

For: METHOD AND APPARATUS FOR
NETWORK
DECEPTION/EMULATION

Examiner: Khanh Q. Dinh

Art Unit: 2152

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR § 1.97 and § 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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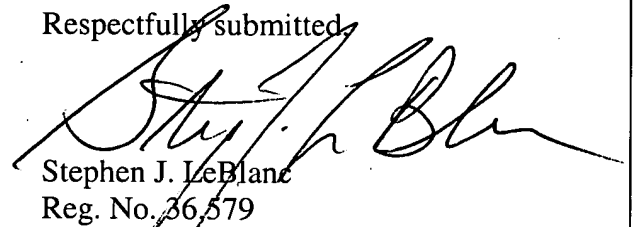
Technology Center 2100

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

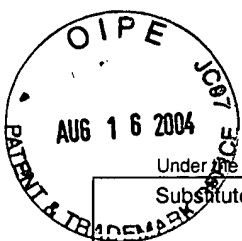
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Stephen J. LeBlanc
Reg. No. 36,579

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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/696,893
Filing Date	October 26, 2000
First Named Inventor	Fred Cohen
Group Art Unit	2152
Examiner Name	Khanh Q. Dinh
Attorney Docket Number	511-000110US
Date Submitted	August 12, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	01	COHEN (1985) "Algorithmic Authentication of Identification." Information Age, V7, #1 (Jan. 1985), pp. 35-41.	
	02	COHEN (1998) "Managing Network Security: The Unpredictability Defense." Located on the World Wide Web at http://all.net/journal/netsec/1998-04.html . Fred Cohen & Associates, April, 1998.	
	03	COHEN et al. (1998) "A Preliminary Classification Scheme for Information System Threats, Attacks, and Defenses; A Cause and Effect Model; and Some Analysis Based on That Model." Located on the World Wide Web at http://all.net/journal/ntb/cause-and-effect.html . Sandia National Laboratories, September 1998.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.